

**CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY - TO BID ON A FRANCHISE,
LICENSE OR PERMIT OFFERED BY A GOVERNMENTAL AGENCY**

Filing Requirements Checklist

(Authority: KRS 278.020(3), 807 KAR 5:001, Sections 8 and 9(1))

Case No. _____ Utility Name _____ Received Date _____ Form Circulation Date _____

Instructions:

- 1) Each Division noted by checkmark () is to complete its review and pass on within two days of receipt.
- 2) This form is to list only the specific filing deficiencies as identified in the regulations. If additional information is needed, an information request must be issued.
- 3) Staff member should use initials and list date review is completed.
- 4) Return to Docket Section following review by all Divisions.

Reviewed by Following Divisions:

	<u>Staff Member</u>	<u>Date</u>
_____ Filings Division	_____	_____
_____ Financial Analysis	_____	_____
_____ Engineering	_____	_____
_____ Legal	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	Requirement <u>Met</u>	Waiver <u>Requested</u>	Approve <u>Waiver?</u>
			<u>YES</u> <u>NO</u>		<u>YES</u> <u>NO</u>
	<u>807 KAR 5:001:</u>				
Filings Division	Section 8(1)	Full name and post office address of applicant and a reference to the particular provision of law requiring Commission approval.	_____	_____	_____
Filings Division	Section 8(2)	The original and 10 copies of the application with an additional copy for any party named therein as an interested party.	_____	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>		<u>Waiver Requested</u>	<u>Approve Waiver?</u>	
			<u>YES</u>	<u>NO</u>		<u>YES</u>	<u>NO</u>
Filings Division	Section 8(3)	If applicant is a corporation, a certified copy of the Articles of Incorporation and all amendments thereto or if the articles were filed with the PSC in a prior proceeding, a reference to the style and case number of the prior proceeding.	—	—	—	—	—
Filings Division	Section 9(1)	(b) The name of the governmental agency offering the franchise.	—	—	—	—	—
		(c) The type of franchise offered.	—	—	—	—	—
		(d) A statement showing the need and demand for service.	—	—	—	—	—